

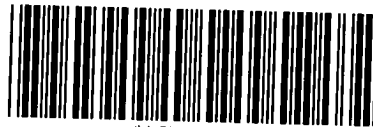
NUMIS NOMINEES (NSI) LIMITED

COMPANY NUMBER 03545128

ANNUAL REPORT

YEAR ENDED 30 SEPTEMBER 2019

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NUMIS NOMINEES (NSI) LIMITED
(Registered Number 03545128)
ANNUAL REPORT
YEAR ENDED 30 SEPTEMBER 2019

CONTENTS

DIRECTORS, OFFICERS AND REGISTERED OFFICE	1
DIRECTORS' REPORT	2
STATEMENT OF DIRECTORS' RESPONSIBILITIES	3
BALANCE SHEET	4
NOTES TO THE FINANCIAL STATEMENTS	5

**NUMIS NOMINEES (NSI) LIMITED
DIRECTORS, OFFICERS AND REGISTERED OFFICE**

DIRECTORS

A Holloway
M Pennicott

COMPANY SECRETARY

A Holloway

REGISTERED OFFICE

10 Paternoster Square
London
EC4M 7LT

**NUMIS NOMINEES (NSI) LIMITED
DIRECTORS' REPORT**

The Directors present their annual report on the affairs of the Company, together with the financial statements for the year ended 30 September 2019.

PRINCIPAL ACTIVITY AND BUSINESS REVIEW

The principal activity of the Company is to act as a nominee and to hold investments which are beneficially owned by third parties.

RESULTS AND DIVIDENDS

The Company did not trade during the year under review and accordingly made neither profits nor losses. No dividend has been paid or proposed.

DIRECTORS AND THEIR INTERESTS

The Directors who served during the year are as shown below.

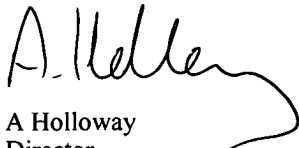
A Holloway
M Pennicott

No Director had any direct interest in the shares of the Company during the year ended 30 September 2019 (2018: none).

AUDIT

For the year ending 30 September 2019 the Company was entitled to exemption from audit under section 480 of the Companies Act 2006 relating to dormant companies.

BY ORDER OF THE BOARD



A Holloway
Director
10 Paternoster Square
London
EC4M 7LT

10 January 2020

NUMIS NOMINEES (NSI) LIMITED
STATEMENT OF DIRECTORS RESPONSIBILITIES IN RESPECT OF THE FINANCIAL STATEMENTS

Company law requires the Directors to prepare the Annual Report and Financial Statements for each financial year. Under that law the Directors have prepared the Company Financial Statements in accordance with International Financial Reporting Standards (IFRSs) as adopted by the European Union and Company Financial Statements in accordance with International Financial Reporting Standards (IFRSs) as adopted by the European Union. Under company law the Directors must not approve the Financial Statements unless they are satisfied that they give a true and fair view of the state of affairs of the Company and of the profit or loss of the Company for that period. In preparing the Financial Statements, the Directors are required to:

- select suitable accounting policies and then apply them consistently;
- state whether applicable IFRSs as adopted by the European Union have been followed, subject to any material departures disclosed and explained in the Financial Statements;
- make judgements and accounting estimates that are reasonable and prudent; and
- prepare the Financial Statements on the going concern basis unless it is inappropriate to presume that the Company will continue in business.

The Directors are responsible for keeping adequate accounting records that are sufficient to show and explain the Company's transactions and disclose with reasonable accuracy at any time the financial position of the Company and enable them to ensure that the Financial Statements comply with the Companies Act 2006.

The Directors are also responsible for safeguarding the assets of the Company and hence for taking reasonable steps for the prevention and detection of fraud and other irregularities.

The members have not required the Company to obtain an audit of its accounts for the year in question in accordance with section 476 of the Companies Act 2006.

NUMIS NOMINEES (NSI) LIMITED
BALANCE SHEET
30 SEPTEMBER 2019


	Notes	2019 £	2018 £
CURRENT ASSETS			
Receivables	3	2	2
		<u>2</u>	<u>2</u>
EQUITY			
Share capital	4	2	2
		<u>2</u>	<u>2</u>
EQUITY ATTRIBUTABLE TO OWNERS OF THE COMPANY		<u>2</u>	<u>2</u>

For the year ending 30 September 2019 the Company was entitled to exemption from audit under section 480 of the Companies Act 2006 relating to dormant companies.

The Directors acknowledge their responsibilities for complying with the requirements of the Companies Act 2006 with respect to accounting records and the preparation of accounts.

The members have not required the Company to obtain an audit of its accounts for the year in question in accordance with section 476 of the Companies Act 2006.

Signed on behalf of the Board on 10 January 2020


..... Director
A Holloway
Numis Nominees (NSI) Limited
Registered Number 03545128

The accompanying notes are an integral part of this balance sheet.

NUMIS NOMINEES (NSI) LIMITED
NOTES TO THE FINANCIAL STATEMENTS
YEAR ENDED 30 SEPTEMBER 2019

1 ACCOUNTING POLICIES

Accounting convention

The Company's financial statements have been prepared in accordance with International Financial Reporting Standards (IFRS) as adopted by the European Union (EU) and in accordance with IFRIC interpretations and the Companies Act 2006 applicable to companies reporting under IFRS.

Cash flow statement

The Company had no cash or cash equivalent balances as at 30 September 2017, 30 September 2018, or 30 September 2019. Similarly there were no movements in cash or cash equivalents during the year ended 30 September 2018 or 30 September 2019. Hence no cash flow statement is presented.

2 INCOME STATEMENT

The Company receives no income and any expenses are paid and borne by Numis Securities Limited, its immediate parent company, without recharge to the Company. Accordingly, no income statement is presented.

3 RECEIVABLES	2019 £	2018 £
Amount due from group undertaking	2	2
	<u> </u>	<u> </u>

4 SHARE CAPITAL	2019 £	2018 £
Authorised 1,000 ordinary shares of £1 each	1,000	1,000
Issued and fully paid 2 ordinary shares of £1 each	2	2
	<u> </u>	<u> </u>

5 ULTIMATE PARENT COMPANY AND CONTROLLING PARTY

The immediate parent undertaking is Numis Securities Limited, which is registered in England and Wales. The ultimate parent undertaking is Numis Corporation Plc, which is registered in England and Wales.

There is no ultimate controlling party within the group.

The results of Numis Nominees (NSI) Limited are consolidated into the accounts of Numis Corporation Plc. The accounts of Numis Corporation Plc are available to the general public from Companies House, Crown Way, Maindy, Cardiff, CF14 3UZ.