

**NUMIS NOMINEES (CLIENT) LIMITED**  
**COMPANY NUMBER 3218624**  
**ANNUAL REPORT**  
**FOR THE YEAR ENDED 30 SEPTEMBER 2019**



**NUMIS NOMINEES (CLIENT) LIMITED**  
**(Registered Number 3218624)**  
**ANNUAL REPORT**  
**YEAR ENDED 30 SEPTEMBER 2019**

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**NUMIS NOMINEES (CLIENT) LIMITED  
DIRECTORS, OFFICERS AND REGISTERED OFFICE**

**DIRECTORS**

A Holloway  
M Pennicott

**COMPANY SECRETARY**

A Holloway

**REGISTERED OFFICE**

10 Paternoster Square  
London  
EC4M 7LT

**NUMIS NOMINEES (CLIENT) LIMITED  
DIRECTORS' REPORT**

The Directors present their annual report on the affairs of the Company, together with the financial statements for the year ended 30 September 2019.

**PRINCIPAL ACTIVITY**

The principal activity of the Company is to act as a nominee company to Numis Securities Limited, its immediate parent undertaking.

**RESULTS AND DIVIDENDS**

The Company did not earn any income from the provision of its services. The costs were met by a fellow group undertaking during the year, and accordingly made neither profits nor losses. No dividend is proposed or paid.

**DIRECTORS**

The Directors who served during the year are as shown below.

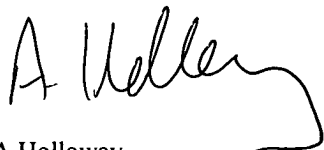
A Holloway  
M Pennicott

No Director had any direct interest in the shares of the Company during the year ended 30 September 2019 (2018: none).

**AUDIT**

For the year ending 30 September 2019 the Company was entitled to exemption from audit under section 480 of the Companies Act 2006 relating to dormant companies.

BY ORDER OF THE BOARD



A Holloway  
Director  
10 Paternoster Square  
London  
EC4M 7LT

10 January 2020

**NUMIS NOMINEES (CLIENT) LIMITED**  
**STATEMENT OF DIRECTORS' RESPONSIBILITIES IN RESPECT OF THE FINANCIAL STATEMENTS**

Company law requires the Directors to prepare the Annual Report and Financial Statements for each financial year. Under that law the Directors have prepared the Company Financial Statements in accordance with International Financial Reporting Standards (IFRSs) as adopted by the European Union and Company Financial Statements in accordance with International Financial Reporting Standards (IFRSs) as adopted by the European Union. Under company law the Directors must not approve the Financial Statements unless they are satisfied that they give a true and fair view of the state of affairs of the Company and of the profit or loss of the Company for that period. In preparing the Financial Statements, the Directors are required to:

- select suitable accounting policies and then apply them consistently;
- state whether applicable IFRSs as adopted by the European Union have been followed, subject to any material departures disclosed and explained in the Financial Statements;
- make judgements and accounting estimates that are reasonable and prudent; and
- prepare the Financial Statements on the going concern basis unless it is inappropriate to presume that the Company will continue in business.

The Directors are responsible for keeping adequate accounting records that are sufficient to show and explain the Company's transactions and disclose with reasonable accuracy at any time the financial position of the Company and enable them to ensure that the Financial Statements comply with the Companies Act 2006.

The Directors are also responsible for safeguarding the assets of the Company and hence for taking reasonable steps for the prevention and detection of fraud and other irregularities.

The members have not required the Company to obtain an audit of its accounts for the year in question in accordance with section 476 of the Companies Act 2006.

**NUMIS NOMINEES (CLIENT) LIMITED**  
**BALANCE SHEET**  
**30 SEPTEMBER 2019**


	Notes	2019 £	2018 £
<b>CURRENT ASSETS</b>			
Receivables	3	1,000	1,000
		<u>1,000</u>	<u>1,000</u>
<b>EQUITY</b>			
Share capital	4	1,000	1,000
		<u>1,000</u>	<u>1,000</u>
<b>EQUITY ATTRIBUTABLE TO OWNERS OF THE COMPANY</b>		<u>1,000</u>	<u>1,000</u>

For the year ending 30 September 2019 the Company was entitled to exemption from audit under section 480 of the Companies Act 2006 relating to dormant companies.

The Directors acknowledge their responsibilities for complying with the requirements of the Companies Act 2006 with respect to accounting records and the preparation of accounts.

The members have not required the Company to obtain an audit of its accounts for the year in question in accordance with section 476 of the Companies Act 2006.

Signed on behalf of the board on 10 January 2020

  
..... Director  
A Holloway  
Numis Nominees (Client) Limited  
Registered Number 3218624

The accompanying notes are an integral part of this balance sheet.

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**NUMIS NOMINEES (CLIENT) LIMITED  
NOTES TO THE FINANCIAL STATEMENTS  
YEAR ENDED 30 SEPTEMBER 2019**

**1 ACCOUNTING POLICIES**

**Accounting convention**

The Company's financial statements have been prepared in accordance with International Financial Reporting Standards (IFRS) as adopted by the European Union (EU) and in accordance with IFRIC interpretations and the Companies Act 2006 applicable to companies reporting under IFRS

**Cash flow statement**

The Company had no cash or cash equivalent balances as at 30 September 2017, 30 September 2018, or 30 September 2019. Similarly there were no movements in cash or cash equivalents during the year ended 30 September 2018 or 30 September 2019. Hence no cash flow statement is presented.

**2 INCOME STATEMENT**

The Company receives no income and any expenses are paid and borne by Numis Securities Limited, a fellow subsidiary undertaking. Accordingly, no profit and loss account is presented.

<b>3</b>	<b>RECEIVABLES</b>	<b>2019</b>	<b>2018</b>
		<b>£</b>	<b>£</b>

The following items are included as receivables:

Amount due from group undertaking	1,000	1,000
	<u>          </u>	<u>          </u>

<b>4</b>	<b>SHARE CAPITAL</b>	<b>2019</b>	<b>2018</b>
		<b>£</b>	<b>£</b>

<b>Authorised</b> 1,000 ordinary shares of £1 each	1,000	1,000
	<u>          </u>	<u>          </u>

<b>Issued and fully paid</b> 1,000 ordinary shares of £1 each	1,000	1,000
	<u>          </u>	<u>          </u>

**5 ULTIMATE PARENT COMPANY AND CONTROLLING PARTY**

The immediate and ultimate parent undertaking is Numis Corporation Plc, which is registered in England and Wales.

There is no ultimate controlling party within the group.

The results of Numis Nominees (Client) Limited are consolidated into the accounts of Numis Corporation Plc. The accounts of Numis Corporation Plc are available to the general public from Companies House, Crown Way, Maindy, Cardiff, CF14 3UZ.